

Health Plan:
 Report Date:

MCO Program Integrity Quarterly Report - Summary

MCO Summary Statistics								
Calendar Year: 								
	Recoupment balance due from previous years	Q1	Q2	Q3	Q4	CY 2020 Total	Total with previous years balance forward	MCO Comments
Tips								
# of TIPs identified internally by the MCO and provided to EOHHS ⁽¹⁾	0	0	0	0	0	0		
Scheduled Audits Performed								
# of audits <u>with</u> Overpayment identified	0					0		
# of audits <u>without</u> Overpayment identified						0		
Total		0	0	0	0	0		
FWA Cases Initiated								
# of FWA cases <u>with</u> Overpayment identified	0					0		
# of FWA cases <u>without</u> Overpayment identified						0		
Total		0	0	0	0	0		
Financial Summary								
\$ Overpayment balance forward from previous calendar year(s)	\$ -							
\$ Overpayments identified for recoupment from Audits/FWA Cases	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
\$ Overpayments recouped as a result of Audits/FWA Cases ⁽²⁾	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
\$ Outstanding Overpayment Balance (including previous yr bal fwd)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
% Overpayments recouped from total outstanding from previous quarter		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0! <small>% for 2019 activity</small>	#DIV/0! <small>% inc. prev. yr. balance</small>	
Referrals								
To the Office of Program Integrity (OPI) ⁽³⁾		0	0	0	0	0		
Provider Enrollment Safeguards								
# of EOMBs that resulted in an investigation ⁽⁴⁾						0		
# of DOH sanctions						0		
# of positive matches found against LEIE and SAM ⁽⁵⁾						0		
Provide names of providers who matched query in comments column								
# of providers involuntarily terminated during the reporting period due to						0		
# of provider applications denied for program integrity concerns ⁽⁷⁾						0		
# of corroborated clinical "never events"/serious reportable events ⁽⁸⁾						0		
# of calls to MCO Compliance Hotline						0		
# of investigations initiated as a result of calls to the MCO Confidential Hotline						0		
Change in MCO senior management (Director, VP, Chief or board members)						0		

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TIPS (only reported by MCO who identifies potential issue)										
Activity Quarter	Date Tip Identified by MCO	Status	Source	First Name / Organization Name	Last Name	NPI #	Practice Location	TIP Allegation/ Information	Initial Financial Exposure	Date TIP Sent to EOHHS/OPI
Q1										
Q1										
Q1										
Q1										
Q1										
Q1										
Total Q1	0								\$ -	
Q2										
Q2										
Q2										
Q2										
Q2										
Q2										
Total Q2	0								\$ -	
Q3										
Q3										
Q3										
Q3										
Q3										
Q3										
Total Q3	0								\$ -	
Q4										
Q4										
Q4										
Q4										
Q4										
Q4										
Total Q4	0								\$ -	
TOTAL CY 2020	0								\$ -	

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Referrals Made to Office of Program Integrity

Activity Quarter	Date Case Referred to OPI	Source	First Name / Organization Name	Last Name	Concerns of Request/Referral	Initial Financial Exposure
Q1						
Q1						
Q1						
Q1						
Q1						
Total Q1	0					\$ -
Q2						
Q2						
Q2						
Q2						
Q2						
Total Q2	0					\$ -
Q3						
Q3						
Q3						
Q3						
Q3						
Total Q3	0					\$ -
Q4						
Q4						
Q4						
Q4						
Q4						
Total Q4	0					\$ -
TOTAL CY 2020	0					\$ -

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Definitions

1	A "tip" is a notification from an MCO that alerts OPI and the other MCOs that they may have identified via, but not limited to, data mining, provider profiling or a call to their compliance hotline, something worth taking a closer look. Tips are reported by the identifying MCO only, but may result in another MCO conducting and investigation or audit. Tips are reported to both EOHHS and OPI on the state approved template. EOHHS will funnel these tips back out to the other MCOs.
2	Dollars Recouped (as reported to RI EOHHS). The MCO should populate this field with a sum of the recoupments (via Audits/FWA Cases) that the MCO obtained for its RI Medicaid managed care products during the quarter. This statistic should reflect the quarterly sum of a Medicaid participating MCO's recoupments. (These are reported in a quarterly, aggregated manner by the MCO in its quarterly Fraud and Abuse Investigations Report to the RI OPI.)
3	The Number of Cases of Suspected Provider or Vendor Fraud or Abuse Referred to the RI Office of Program Integrity (OPI) and RI EOHHS. The MCO should populate the field with the total number of cases of suspected provider or vendor fraud or abuse that the MCO referred simultaneously to the RI EOHHS and RI OPI during the quarter. In the event that there were none, a zero (0) should be entered for the quarter. If a Medicaid participating MCO identifies a case of suspected provider or vendor fraud or abuse, the MCO must submit a completed referral to the RI EOHHS and RI OPI within five (5) business days of the MCO's conclusion of the initial investigation of the case. A completed copy of the State's MFCU Referral Form should be submitted by secure electronic mail to the following parties at the RI EOHHS and RI OPI: Bruce.McIntyre@ohhs.ri.gov and Nancy.Lee@ohhs.ri.gov.
4	The Number of EOMBs That Resulted in an Investigation by the MCO. The MCO should populate the field with a sum of the number of Explanation of Member Benefits (EMOBs) that resulted in the initiation of a fraud or abuse investigation during the quarter. In the event that none of the EMOBs generated by a Medicaid participating MCO resulted in an investigation, then a zero (0) should be entered for the quarter.
5	The Number of Positive (+) Findings as a Result of the MCO's Monthly Queries of the LEIE and the SAM. The MCO should populate this field with the total number of providers and vendors who were identified during the quarter as being excluded from participation in Federal health programs, based on the MCO's monthly queries of the List of Excluded Entities and Individuals (LEIE) and the System for Award Management (SAM). In the event that there were no excluded providers or vendors identified, a zero (0) should be entered for the quarter. If a Medicaid participating MCO identifies an excluded provider or vendor, the MCO is obliged to notify the RI EOHHS in writing with in five (5) business days of that identification via the OHHS MCO Oversight mail box (OHHS.MCOOversight@ohhs.ri.gov) and by sending an email to Nancy.Lee@ohhs.ri.gov. The names of those providers identified are to be listed under the MCO Comments column.
6	The Number of In-Network Providers Who Were Terminated due to Concerns about Quality or Medicaid Program Integrity. The MCO should populate this field with a sum of the count of the number of providers whose participation has been terminated from participation in the MCO's network during the quarter, when the termination from participation has been undertaken due to concerns about Medicaid program integrity or quality. In the event that there were none, a zero (0) should be entered for the quarter. The names of those providers identified are to be listed under the MCO Comments column. MCOs are required to notify RI EOHHS of these providers via the OHHS MCO Oversight mail box (OHHS.MCOOversight@ohhs.ri.gov) and by sending an email to Nancy.Lee@ohhs.ri.gov within 10 calendar days.
7	The Number of Applying Providers Who Were Not Enrolled due to Concerns about Quality or Medicaid Program Integrity. The MCO should populate this field with a sum of the number of providers whose: a) initial application to join the network has been denied; or b) whose recredentialing application has been denied, when either denial action had been take due to concerns about Medicaid program integrity or quality. In the event that there were none, a zero (0) should be entered for the quarter. The names of those providers identified are to be listed under the MCO Comments column. MCOs are required to notify RI EOHHS via the OHHS MCO Oversight mail box (OHHS.MCOOversight@ohhs.ri.gov) and by sending an email to Nancy.Lee@ohhs.ri.gov within 10 calendar days.
8	The Number of Corroborated Clinical "Never Events"/Serious Reportable Events The MCO should populate this field with a sum of the count of suspected clinical "never events"/serious reportable events that were identified by the MCO during the quarter. In the event that there were none, a zero (0) should be entered for the quarter. National Quality Forum (NQF) has compiled a list of 28 SREs in six categories. Please refer to the list of Serious Reportable Events (SREs) published by the NQF.
9	RI EOHHS is required to conduct a query of the LEIE for all senior leadership for each MCO monthly, but report it quarterly. The MCOs should report any change in Director, VP or Chief level staff as well as changes to its board of directors in the comments column. Provide the specific employee/BOD name and indicate if this is a new employee (+) or if the employee has left the MCO (-).

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