

**CONTRACT BETWEEN  
STATE OF RHODE ISLAND  
EXECUTIVE OFFICE OF HEALTH AND HUMAN SERVICES  
AND  
UNITEDHEALTHCARE OF NEW ENGLAND, INC.  
EFFECTIVE January 1, 2025**

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## Article I. BACKGROUND

**This AGREEMENT (“Agreement”)** is made and entered into by the Rhode Island Executive Office of Health and Human Services (“EOHHS”), an administrative agency within the executive department of the State of Rhode Island having its principal office at the Virks Building, 3 West Road, Cranston, Rhode Island, 02920, and UnitedHealthcare of New England, Inc. (“Medicare Advantage Plan” or “MA Health Plan”), a corporation organized under the laws of the State of Rhode Island with a principal business address of 475 Kilvert Street, Suite 310, Warwick, RI 02886.

The MA Health Plan has entered into a contract (“MA Agreement”) with the Centers for Medicare and Medicaid Services to provide a Medicare Advantage Prescription Drug Plan under Title XVIII and XIX of the Social Security Act, including Medicare Advantage Special Needs Plan that arrange for the provision of Medicare services for individuals who are dually eligible for both Medicare and Medicaid benefits.

Under the Medicare Improvement for Patients and Providers Act of 2008 (“MIPPA”) and resulting regulations, CMS requires the MA Health Plan to enter into an agreement with Rhode Island documenting the MA Health Plan’s obligations to provide or arrange for Medicaid benefits to be provided to dually eligible individuals. As a result, the MA Health Plan and EOHHS wish to enter into this agreement which will outline each party’s obligations to provide or arrange for benefits for Dual Eligible Members.

In consideration of the premises and the mutual promises and undertakings contained herein, the parties agree to the following terms and conditions.

## Article II. DEFINITIONS

**Affiliate** means with respect to any person or entity, any other person or entity which directly or indirectly controls, is controlled by or is under common control with such person or entity.

**Care Coordination** means the organized delivery of member care activities between two (2) or more participants (including the member) involved in a member’s care to facilitate the appropriate delivery of Medicare and/or Medicaid health care services. Organizing care involves the marshaling of personnel and other resources needed to carry out all medically necessary member care activities and is often managed by the exchange of information among participants responsible for different aspects of care.

**Centers for Medicare and Medicaid Services (“CMS”)** means the federal agency under the United States Department of Health and Human Services responsible for administering the Medicare and Medicaid programs.

**Cost Sharing Obligations** mean those financial payment obligations incurred by EOHHS in satisfaction of the Deductibles, Coinsurance, and Co-payments for the Medicare Part A and Part B services with respect to specified Dual Eligible Members. For purposes of this Agreement, Cost Sharing Obligations do not include: (1) Medicare premiums that EOHHS is required to pay under the State Plan on behalf of Dual Eligible Members, or (2) any other services that are covered solely by the Rhode Island Medicaid Program (“Medicaid”)

Category	Medicare Part A Premiums	Medicare Part B Premiums	Medicare Cost Sharing (Except Part D)		Other Medicaid Benefits
			Part A	Part B	
QMB Only	x	x	x	x	
QMB Plus	x	x	x	x	x
FBDE	x	x	x	x	x
SLMB Plus		x	x	x	x
SLMB		x			
QI		x			
QDWI	x				

**Days** mean calendar days unless otherwise specified.

**Dual Eligible** means an individual who is entitled to Medicare Part A and/or Medicare Part B and is eligible for some form of Medicaid. The MA Health Plan may enroll only those categories of Dual Eligible individuals identified in Appendix A.

**Dual Eligible Member** means a Dual Eligible individual who is eligible and voluntarily enrolled in the MA Health Plan.

**Dual Special Needs Plan (“D-SNP”)** means a specialized Medicare Advantage Prescription Drug Plan for special needs individuals who are entitled to medical assistance under a state plan under Title XIX of the Social Security Act that satisfies the requirements for such plans at [42 CFR § 422.2](#).

**Full Benefit Dual Eligible Members (“FBDE”)** means an individual who is entitled to Medicare Part A and/or Part B and are eligible for full Medicaid benefits. FBDEs are eligible for Medicaid payment of Medicare premiums, deductibles, coinsurance, and co-payments (except for Medicare Part D) as well as full Medicaid benefits.

**MA Agreement** means the Medicare Advantage Agreement between the MA Health Plan and CMS to provide Medicare Part C and other health plan services to the MA Health Plan’s members.

**Medicare Advantage Prescription Drug (“MAPD”) Plan** means the CMS approved Medicare Advantage plan sponsored, issued, or administered by the MA Health Plan as defined at 42 CFR § 423.4 and includes, but is not limited to, Dual-Eligible Special Needs Plans as defined in the Medicare Advantage Regulations.

**Qualified Disabled and Working Individuals (“QDWI”)** means an individual who lost their Medicare Part A benefits due to their return to work. They are eligible to purchase Medicare Part A benefits, have income of 200% FPL or less and resources that do not exceed twice the limit for SSI eligibility, and are not otherwise eligible for Medicaid. Medicaid pays the Medicare Part A premiums only.

**Qualified Medicare Beneficiary (“QMB”)** means an individual who is entitled to Medicare Part A, has income that does not exceed 100% of the Federal Poverty Level (FPL), and whose resources do not exceed twice the Supplemental Security Income (SSI) limit. A QMB is eligible for Medicaid payment of Medicare premiums, deductibles, coinsurance, and co-payments (except for Medicare Part D) (“QMB Medicaid Benefits”).

- **QMB Only** – QMBs who do not qualify for any additional QMB Medicaid Benefits.
- **QMB Plus** – QMBs who also meet the financial criteria for full Medicaid coverage. QMB Plus individuals are entitled to QMB Medicaid Benefits, plus all benefits under the State Plan for fully eligible Medicaid recipients.

**Qualifying Individuals (“QI”)** means an individual who is entitled to Medicare Part A, have income of at least 120% FPL, but less than 135% FPL, resources that do not exceed twice the limit for SSI eligibility, and are not otherwise eligible for Medicaid. Medicaid pays their Medicare Part B premiums only.

**Specified Low-Income Medicare Beneficiary (“SLMB”)** means an individual who is entitled to Medicare Part A, has income that exceeds 100% FPL but less than 120% FPL, and resources do not exceed twice the SSI limit and are not otherwise eligible for Medicaid. A SLMB is eligible for Medicaid payment of Medicare Part B premium only.

- **SLMB Plus** – SLMBs that are entitled to Medicare Part A, have income of greater than 100% FPL, but less than 120% FPL and resources that do not exceed twice the limits for SSI eligibility, and are also eligible for full Medicaid coverage. Such individuals are entitled to Medicaid payment of the Medicare Part B premium, as well as full State Medicaid benefits.

**State Plan** means the State of Rhode Island’s plan for the Medical Assistance Program as submitted by EOHHS and approved by the Secretary of the U.S. Department of Health and Human Services under Title XIX of the Social Security Act, as modified, or amended.

**Subcontract** means an agreement between the MA Health Plan and a third party under which the third party agrees to accept payment for providing health care services and/or administrative services for the MA Health Plan’s members.

**Subcontractor** means a third party with which the MA Health Plan has a Subcontract.

### Article III. MA HEALTH PLAN’S OBLIGATIONS

*Section 3.01 Service Area*

- (a) The MA Health Plan will offer a Dual Special Needs Plan (“D-SNP”) to the categories of Dual Eligible individuals identified on **Appendix A** who: (1) reside in the State, county, or zip code where the MA Health Plan offers the D-SNP, and (2) are otherwise eligible to enroll in the D-SNP. The MA Health Plan will also identify the service area of the D-SNP according to either counties or zip codes on **Appendix A**.

*Section 3.02 Enrollment*

- (a) Prior to enrollment, the MA Health Plan will verify a potential Dual Eligible Member’s Medicare eligibility. Unless a Dual Eligible is otherwise excluded under federal Medicare Advantage plan rules, the MA Health Plan will accept all Dual Eligible individuals who select the MA Health Plan’s D-SNP Plan without regard to physical or mental condition, health status or need for or receipt of health care services, claims experience, medical history, genetic information, disability, marital status, age, sex, national origin, race, color, or religion, and will not use any policy or practice that has the effect of such discrimination. Categories of Dual Eligible individuals eligible by this Agreement are reflected in **Table 1**.

*Table 1: Enrollment Eligibility Categories*

<b>DUAL ELIGIBLE CATEGORY</b>	<b>ELIGIBLE FOR D-SNP</b>
FBDE	YES
SLMB	YES
SLMB PLUS	YES
QDWI	YES
QI	YES
QMB ONLY	YES
QMB PLUS	YES

- (b) Prior to enrollment, the MA Health Plan will verify a potential Dual Eligible Member’s Medicaid eligibility. The MA Health Plan may also conduct ongoing eligibility verification of Dual Eligible Members. As outlined in **Article IV**, EOHHS will provide

MA Health Plan with real-time access to the State's eligibility system or otherwise agree to a data exchange of information that allows the MA Health Plan to verify a potential Dual Eligible Member's current Medicaid status.

- (c) The MA Health Plan may choose to use a Subcontractor to conduct eligibility verification outlined in this Section so long as the Subcontractor has met EOHHS' requirements for access to the State eligibility database.

### *Section 3.03*

#### *Benefits*

- (a) The MA Health Plan will provide the D-SNP pursuant to this agreement to Dual Eligible Members who are qualified and are enrolled to receive such services under the eligibility requirements of the D-SNP.
- (b) The MA Health Plan is not responsible for providing or reimbursing any Medicaid benefits for Dual Eligible Members under this Agreement. The MA Health Plan will maintain current knowledge and familiarity of State Plan benefits through ongoing reviews of Rhode Island laws, rules, policies, and further guidance as posted on the EOHHS website. The MA Health Plan will provide timely coordination of State Plan benefits for its enrolled Dual Eligible Members as described in **Appendix B** of this Agreement. Rhode Island Medicaid covered services are described in Title XIX of the Social Security Act, 42 CFR §§440 and 441; the EOHHS website; and other relevant materials.
- (c) The MA Health Plan will identify for Dual Eligible Members in the D-SNP's Summary of Benefits those benefits the member may be eligible for under the State Plan that are not covered services under the Member's D-SNP. The D-SNP is responsible to coordinate access to such benefits. EOHHS is responsible for providing the D-SNP with the State Plan benefits outlined in **Appendix B**. The Medicaid covered benefits outlined in **Appendix B** include the medical, behavioral, and long-term services and supports (LTSS) benefit package for full dual eligible enrollees based on Medicaid eligibility determination. LTSS services are covered only when a Medicaid enrollee has been determined eligible for LTSS by EOHHS. All Medicaid covered services outlined in **Appendix B** are provided through the Medicaid FFS program.

### *Section 3.04*

#### *Coordination*

- (a) The MA Health Plan is responsible for care coordination of all benefits covered by both Medicare and Medicaid benefits delivered via Medicaid FFS for Dual Eligible Members. EOHHS will provide contact and resource information, to the extent available, that allows the MA Health Plan to access information regarding the State Plan, including Medicaid benefits, providers, case managers and waiver programs. Consistent with the MA Health Plan's Model of Care, coordination of care for Dual Eligible Members by the MA Health Plan will include the following:

- i. Identifying in the MA Health Plan’s Summary of Benefit those benefits the Dual Eligible Member may be eligible for under the State Plan that are not covered services under the D-SNP to the extent that EOHHS has provided State Plan benefit information outlined in **Article IV** and **Appendix B** of this agreement.
  - ii. Providing Dual Eligible Members with information (including contact information) and warm transfer to access Medicaid benefits upon the Dual Eligible Member’s request or as identified by the case coordinator or other MA Health Plan staff.
  - iii. Participation and completion of Rhode Island Medicaid home and community-based services (“HCBS”) training by member facing staff, especially care management and member services staff.
  - iv. Coordinating benefits directly with the EOHHS, its program representatives, contractors, and providers, including implementation of a process and procedure for the notification and sharing of LTSS care plans, as appropriate, to coordinate care and ensure continuity of care.
  - v. Coordinating access to Medicaid covered services upon the Dual Eligible Member’s request or as identified by the MA Health’s Plan’s care coordinator. Such coordination may include, but is not limited to, identification of and referrals to needed services, assistance with Medicaid appeals and grievances, assistance in care planning, and assistance in obtaining appointments for needed services.
  - vi. Identifying Medicaid participating providers for the Dual Eligible Members to the extent EOHHS has provided such information as outlined in **Article IV** of this Agreement.
  - vii. Making information available to MA Health Plan’s network providers regarding Medicaid so that they may assist Dual Eligible Members to receive needed services not covered by Medicare.
  - viii. Providing information to MA Health Plan’s network providers about coordination of Medicaid and Medicare benefits for Dual Eligible Members.
- (b) EOHHS will provide contact and resource information, to the extent available, that allows the MA Health Plan to access information regarding the State Plan, including the State Plan’s Medicaid benefits, Medicaid providers, State Plan’s case managers, and the State Plan’s waiver program.
- (c) For this Agreement, EOHHS defines “high-risk members” as all Dual Eligible Members enrolled in the D-SNP with the MA Health Plan. The MA Health Plan shall provide timely notification to EOHHS of all admissions to a hospital and skilled nursing facility (“SNF”). “Timely notification” is defined as daily, automated file exchange. Every day, seven days a week, the D-SNP will upload a file to a Secure File Transfer Protocol (“SFTP”) site. The file shall be organized and populated in accordance with the template mutually agreed upon by EOHHS and MA Health Plan and shall identify the MA Health Plan’s Dual Eligible members who experienced a hospital or SNF admission that the MA Health Plan was made aware of within the previous 48 hours.

- (a) Rhode Island does not allow any nominal Medicaid copayments to be charged to the population(s) eligible for enrollment in the MA Health Plan's D-SNP under its state plan for medical assistance. Therefore, per Sections 1902(n)(3)(B) and section 1852(a)(7) of the Social Security Act and 42 CFR 422.504(g)(1)(iii), the MA Health Plan may not charge any QMB, QMB+, SLMB+, or Other Full-Benefit Dually Eligible (FBDE) individual enrollee of the MA Health Plan's D-SNP any cost sharing for any Medicare A or B service rendered by one of the MA Health Plan's network providers, except in the rare case of Medicare A or B services that are not covered under Rhode Island's Medicaid state plan or Rhode Island's Medicaid waiver, wherein SLMB+ and Other FBDE enrollees in the MA Health Plan's D-SNP may be charged the full Medicare cost-sharing amount specific within the D-SNP's plan benefit package. SLMB, QI, QDWI enrollees are responsible for covering their own cost-sharing amounts for Medicare A and B services.
- (b) The MA Health Plan's materials shall:
- i. Clearly describe the cost-sharing amounts corresponding to each Part A and B service covered under the MA Health Plan's D-SNP and the enrollee populations to whom those cost-sharing amounts apply (specifically, the D-SNP's enrollees listed in Appendix A)
  - ii. Make clear that QMB and QMB+ enrollees will not owe any Medicare cost-sharing amounts for any Medicare A or B services rendered by a provider in the MA Health Plan's network or an out-of-network provider who is enrolled in Medicare.
  - iii. Make clear that SLMB+ and Other FBDE enrollees will not be held liable for cost-sharing amounts associated with Medicare A and B services rendered by the MA Health Plan's network providers if the services are also covered under Rhode Island's Medicaid state plan.
  - iv. Indicate that SLMB+ and Other FBDE enrollees may be charged cost-sharing amounts if they: (1) see a provider who is not part of the MA Health Plan's D-SNP network or a registered Medicaid provider in Rhode Island and/or (2) obtain services that are not covered under Rhode Island's state plan or a Rhode Island Medicaid waiver.
- (c) The MA Health Plan's provider agreements shall specify that:
- i. For services rendered to the MA Health Plan's QMB and QMB+ enrollees, contracted providers will: (1) accept the MA Health Plan's Medicare reimbursement as payment in full or bill Rhode Island Medicaid as applicable for any additional Medicare cost sharing payments that may be reimbursable by Medicaid, and (2) refrain from collecting any cost sharing from the QMB or QMB+ enrollees.
  - ii. For services rendered to the MA Health Plan's SLMB+ and Other FBDE enrollees, contracted providers will: (1) accept the MA Health Plan's Medicare reimbursement as payment in full or bill Rhode Island Medicaid for services that are covered under Rhode Island's Medicaid state plan or a Rhode Island Medicaid

waiver, and (2) refrain from collecting cost sharing from SLMB+ and Other FBDE enrollees for those services.

- iii. For services rendered to SLMB, QI, and QDWI enrollees, contracted providers will charge the enrollees no more than the cost-sharing amounts established within the MA Health Plan's D-SNP plan benefit package.
- (d) The Ma Health Plan must track each enrollee's accrued out-of-pocket spending and alert enrollees and providers when the maximum out-of-pocket (MOOP) amount is reached, in accordance with federal regulations at 42 CFR 422.100(f)(4) and (f)(5)(iii) and 42 CFR 422.101(d).

### *Section 3.06*

#### *Third Party Liability & Coordination of Benefits*

- (a) EOHHS is responsible for adjudicating the Cost Share Obligations under the State Plan. The MA Health Plan will adjudicate and pay claims in accordance with Medicare rules and regulations and provide evidence of payment information to providers, which identifies coordination amounts for their claim submission to the State Plan. Pursuant to the State Plan, EOHHS will remain financially responsible for Cost-Sharing Obligations and Medicaid Benefits for Dual Eligible Members. EOHHS may have financial responsibility for Medicare Part A and/or Part B premiums for Dual Eligible Member. EOHHS is not responsible for payment of Medicare Advantage premiums for mandatory or optional Supplement Benefits, unless specifically prescribed in the State Plan.

### *Section 3.07*

#### *Required Program Reports*

- (a) Clinical Data
  - i. The MA Health Plan must report clinical indicator data to EOHHS for all Dual Eligible Members in accordance with the specific HEDIS measures developed for Medicare Advantage Special Needs Plans (SNPs) by the National Commission on Quality Assurance ("NCQA"). The MA Health Plan must comply with, and report to EOHHS, the HEDIS SNP Measures as required and approved by NCQA and CMS and report to EOHHS on the same time schedule required by CMS.
  - ii. The HEDIS measures must be collected according to HEDIS specifications or other specifications as specified by EOHHS, and reported to EOHHS with the annual reports, unless CMS requires submission of those materials on a different time schedule.
- (b) Consumer Assessment of Healthcare Providers and Services ("CAHPS") Data
  - i. The MA Health Plan must submit CAHPS data for its Dual Eligible members described in Appendix A to EOHHS annually, on the anniversary of the start date of the Agreement.

*Section 3.08*

*Model of Care*

- (a) The MA Health Plan agrees to provide EOHHS on an annual basis its approved Model of Care (“MOC”) to ensure alignment with EOHHS expectations and care coordination of Medicaid benefits.

*Section 3.09*

*Marketing and Member Materials*

- (a) The MA Health Plan agrees to provide EOHHS with its marketing strategy and approach for new members and any materials that provide information specific to Medicaid services for EOHHS review, which shall include, at a minimum, the Evidence of Coverage (member handbook), Annual Notice of Change and Summary of Benefits

## Article IV. DEPARTMENT OBLIGATIONS

*Section 4.01*

*Eligibility Verification*

- (a) EOHHS agrees to provide the MA Health Plan or its Subcontractors with real-time access to information that permits the MA Health Plan to verify eligibility of potential and/or existing Dual Eligible Members. EOHHS will provide the MA Health Plan with information within a reasonable time frame to allow the MA Health Plan to identify the specific categories of eligibility of Dual Eligible Members. Information obtained by the MA Health Plan from EOHHS’s eligibility verification system shall not be used by the MA Health Plan for marketing purposes. In collaboration with EOHHS, the MA Health Plan shall implement a process to inform enrollees of annual Medicaid re-certification period through education and application assistance.

*Section 4.02*

*Sharing of Information*

- (a) The MA Health Plan will obtain certain pieces of information from EOHHS to comply with CMS requirements for D-SNPs. In particular:
  - i. EOHHS will provide the MA Health Plan with a list of services and products for which Dual Eligible Members are eligible for under the State Plan on an annual basis, in **Appendix B**. EOHHS will provide the aforementioned information on an ad-hoc basis if significant changes occur during the middle of the year. EOHHS will provide the aforementioned information by May of the preceding year if CMS requires the MA Health Plan to provide such information in the MA Health Plan’s Summary of Benefits and/or Evidence of Coverage.
  - ii. EOHHS will provide the MA Health Plan with an electronic data file containing Medicaid participating providers in a mutually agreed upon format. Once EOHHS provides an electronic data file list of participating Medicaid providers, the MA Health Plan will list in their provider directory those health care providers that are participating in both Medicaid FFS and the D- SNP’s provider network.

## Article V. AGREEMENT TERM & TERMINATION OF AGREEMENT

### *Section 5.01 Agreement Term*

- (a) The initial term of this Agreement will begin on **January 1, 2025** (the “Effective Date”) and end on **December 31, 2025**.

### *Section 5.02 Termination of Agreement*

- (a) This Agreement may be terminated by mutual agreement of the parties. Such agreement must be in writing. The effective date of termination is dependent on any pertinent CMS requirements, including CMS requirements related to notification of Dual Eligible Members.
- (b) In the event CMS notifies the MA Health Plan that the MA Health Plan will not be permitted to continue offering a D-SNP (or plan benefit package) that is listed in **Appendix A**, the MA Health Plan may terminate this Agreement by notifying EOHHS. The termination will be effective on the date specified in the MA Health Plan’s notice to EOHHS.
- (c) In the event of termination pursuant to this Section, EOHHS will continue to provide the MA Health Plan access to the EOHHS eligibility database through the end of the MAPD plan year for purposes of confirming Medicaid eligibility. In addition, the parties shall discuss whether to enter an alternative arrangement for the exchange of Medicaid eligibility information.

## Article VI. DISPUTE RESOLUTION

### *Section 6.01 General Agreement of the Parties*

- (a) The parties mutually agree that the interests of fairness, efficiency, and good business practices are best served when the parties employ all reasonable and informal means to resolve any dispute under this Agreement. The parties express their mutual commitment to using all reasonable and informal means of resolving disputes prior to invoking a remedy provided elsewhere in this Section.

*Section 6.02*

*Duty to Negotiate in Good Faith*

- (a) Any dispute that in the judgment of any party to this Agreement may materially or substantially affect the performance of this Agreement will be reduced to writing and delivered to the other party. The parties must then negotiate in good faith and use every reasonable effort to resolve such dispute and the parties shall not resort to any formal proceedings unless they have reasonably determined that a negotiated resolution is not possible. The resolution of any dispute disposed of by agreement between the parties shall be reduced to writing and delivered to all parties within ten (10) business days.

*Section 6.03*

*Arbitration*

- (a) If the parties are unable to resolve any dispute arising under this Agreement within sixty (60) Days following the date one party sent written notice of the Dispute to the other party, and if either party wishes to pursue the dispute, it shall thereafter be submitted to binding arbitration in accordance with the Commercial Dispute Procedures of the American Arbitration Association, as they may be amended from time to time (see <https://www.adr.org>). Unless otherwise agreed to in writing by the parties, the party wishing to pursue the dispute must initiate the arbitration within one (1) year after the date on which notice of the dispute was given or shall be deemed to have waived its right to pursue the dispute in any forum.
- (b) Any arbitration proceeding under this Agreement shall be conducted in Rhode Island. The arbitrator(s) may construe or interpret but shall not vary or ignore the terms of this Agreement and shall be bound by controlling law. The arbitrator(s) shall have no authority to award punitive, exemplary, indirect, or special damages, except in connection with a statutory claim that explicitly provides for such relief.
- (c) The parties expressly intend that any dispute relating to the business relationship between them be resolved on an individual basis so that no other dispute with any third party(ies) may be consolidated or joined with the dispute related to this Agreement. The parties agree that any arbitration ruling by an arbitrator allowing class action arbitration or requiring consolidated arbitration involving any third party(ies) would be contrary to their intent and would require immediate judicial review of such ruling.
- (d) The decision of the arbitrator(s) on the points in dispute will be binding, and judgment on the award may be entered in any court having jurisdiction thereof. The parties acknowledge that because this Agreement affects interstate commerce the Federal Arbitration Act applies.
- (e) In the event any court determines that this arbitration. procedure is not binding or otherwise allows litigation involving a dispute to proceed, the parties hereby waive all right to trial by jury in, or with respect to, such litigation. Such litigation would instead proceed with the judge as the finder of fact.



- ii. with the prior written consent of EOHHS's point of contact identified in Section 7.08.

*Section 7.07 Modification, Amendment, or Waiver*

- (a) No provision of this Agreement may be modified, amended, or waived except by a written signed by parties to this Agreement. No course of dealing between the parties will modify, amend, or waive any provision of this Agreement or any rights or obligations of any party under or by reason of this Agreement.

*Section 7.08 Notices*

- (a) All notices, consents, requests, instructions, approvals, or other communications provided for herein will be in writing and delivered by personal delivery, overnight courier, United States mail, or electronic facsimile addressed to the receiving party at the address set forth herein. All such communications will be effective when received.
- (b) A party may change the contact information set forth above by giving written notice to the other party.

Rhode Island Executive Office  
of Health & Human Services

UnitedHealthcare of New England, Inc.

Kristin Sousa

Michael Florczyk

Medicaid Program Director

Chief Executive Officer

3 West Road, Virks Building  
Cranston, RI, 02918

475 Kilvert Street, Suite 310  
Warwick, RI 02886

*Section 7.09 Headings*

- (a) The headings and any table of contents contained in this Agreement are for reference purposes only and will not in any way affect the meaning or interpretation of this Agreement.

*Section 7.10 Compliance with Federal and State Law*

- (a) The parties agree to comply with all relevant federal and state laws, including but not limited to the following: Bipartisan Budget Act of 2018 and its implementing regulations issued by CMS; the Medicare Improvements for Patients and Providers Act of 2008 and its implementing regulations issued by CMS; 42 CFR Part 422; Title VI of the Civil Rights Act of 1964, as amended (42 USC § 2000d et seq.); Sections 503 and 504 of the

Rehabilitation Act of 1973, as amended (29 USC §§ 793 and 794); Title IX of the Education Amendments of 1972, as amended (20 USC § 1681 et seq.); Section 654 of the Omnibus Budget Reconciliation Act of 1981, as amended (41 USC § 9849); the Americans with Disabilities Act (42 USC § 12101 et seq); and the Age Discrimination Act of 1975, as amended (42 USC § 6101 et seq.).

*Section 7.11* *Governing Law & Venue*

- (a) This Agreement is governed by the laws of the State of Rhode Island and interpreted in accordance with Rhode Island law, except to the extent preempted by federal law. Provided the parties first comply with the procedures set forth in **Article VI**, “Dispute Resolution,” proper venue for claims arising from this Agreement will be in a court of competent jurisdiction in Rhode Island.

*Section 7.12* *No Third-Party Beneficiaries*

- (a) Nothing in this Agreement, express or implied, is intended to confer upon any other person any rights, remedies, obligations, or liabilities of any nature whatsoever.

*Section 7.13* *Publicity*

- (a) Except as otherwise required by this Agreement or by law, no party will issue or cause to be issued any press release or make or cause to be made any other public statement as to this Agreement or the relationship of the parties, without providing notice to the other party of the contents and manner of presentation and publication thereof and receiving that other party’s written consent. Either party shall have the ability to specifically request that prior consent shall be provided to release information publicly and the parties shall negotiate in good faith regarding whether such request can be accommodated.

*Section 7.14* *No Waiver*

- (a) No delay on the part of either party in exercising any right under this Agreement will operate as a waiver of such right. No waiver, express or implied, by either party of any right or any breach by the other party will constitute a waiver of any other right or breach by the other party.

*Section 7.15* *Confidential Information*

- (a) EOHHS agrees that information that the MA Health Plan submits under this Agreement will be treated as non-public information to the extent permitted by law.

*Section 7.16* *Acknowledgement of Awareness*

- (a) By executing this Agreement, the MA Health Plan acknowledges it is aware of and understands the following:
  - i. The State values the opportunities for increased integration of care and improved health outcomes that the alignment of Medicaid and Medicare systems could provide, and views increase alignment as a primary tool to achieve its LTSS

program goals. To support these values, the State is *considering* various options for developing a Managed Medicaid Long-Term Services and Supports (MLTSS) program for individuals receiving Fee-For-Service LTSS.

- ii. The State views D-SNPs as a critical component of any new MLTSS program to better align and integrate care for its dually eligible members.
- iii. The State intends to limit D-SNP participation with an expectation that only D-SNPs with the same parent company as a Medicaid Managed Care Organization (MCO) that is awarded a MLTSS contract would continue to operate in the State post-MLTSS implementation. The State views this potential requirement as the best way to ensure sufficient a sustainable alignment and integration between Medicaid and Medicare in a MLTSS system into the future.
- iv. In the contract years prior to MLTSS implementation, the State anticipates continually developing and enhancing its State Medicaid Agency Contract (SMAC) requirements with D-SNPs operating in the State. The State intends to build more robust partnerships and increased collaboration with all MA Health Plan contractors to effectively advance integration goals for Rhode Island’s dually eligible members; to improve health outcomes for dually-eligible individuals in Rhode Island through increase alignment of care; and to best position the State for future MLTSS program successes.

**[Remainder of this page intentionally left blank. Signature page follows.]**

## SIGNATURE PAGE

**IN WITNESS WHEREOF**, authorized representatives of the parties execute this Agreement to be effective as of Effective Date articulated in **Article V**.

Rhode Island Executive Office  
of Health & Human Services

UnitedHealthcare of New England, Inc.

Kristin Pono Sousa

Michael Florczyk

Medicaid Program Director

Chief Executive Officer

Date

Date

## APPENDIX A: ENROLLMENT CATEGORIES & SERVICE AREA

### MA HEALTH PLAN'S APPLICABLE SERVICE AREAS AND DUAL ELIGIBLE ENROLLMENT CATEGORIES

<b>CMS Contract ID</b>	<b>PBP</b>	<b>Plan Name</b>	<b>Categories Eligible for Enrollment</b>	<b>Plan Service Area (Counties)</b>
H2272	001	UHC Dual Complete RI-V001 (HMO-POS D-SNP)	QMB Plus, SLMB Plus, FBDE, QMB, QI, SLMB	Bristol, Kent, Newport, Providence, Washington
H2272	003	UHC Dual Complete RI-S3 (HMO-POS D-SNP)	QMB Plus, SLMB Plus, FBDE	Bristol, Kent, Newport, Providence, Washington

## APPENDIX B: MEDICAID FFS BENEFITS & SERVICES

### MEDICAID BENEFITS COORDINATED BY THE MA HEALTH PLAN

Service	Benefit Detail	Reference Coverage Document
<b>Inpatient Hospital Care</b>	Including, but not limited to, bed and board in semi-private rooms, medical and social services, drugs, and biologicals for use in the hospital, supplies, appliances, and equipment for use in the hospital, and other diagnostic or therapeutic items or services not specifically listed but which are ordinarily furnished to inpatients.	Provider Manual: <a href="#">Inpatient</a>
<b>Outpatient Hospital Services</b>	Includes physical therapy, occupational therapy, speech therapy, language therapy, hearing therapy, respiratory therapy, and other Medicaid covered services delivered in an outpatient hospital setting.	Provider Manual: <a href="#">Outpatient</a>
<b>Therapies</b>	Includes physical therapy, occupational therapy, speech therapy, hearing therapy, respiratory therapy, and other related therapies.  All therapy services must be prescribed by a physician and Speech Therapy performed by a licensed therapist. Therapy services must be Services directly related to an active plan of care designed by the prescribing physician and of such a level of complexity and sophistication that the judgment, knowledge, and skills of a qualified therapist are required. All therapies must be medically necessary under accepted standards of medical practice to the treatment of the patient's condition.	Provider Manual: <a href="#">Physical, Occupational, and Speech Therapy</a>
<b>Physician Services</b>	Includes primary care, specialty care, obstetric and newborn care.	Provider Manual: <a href="#">Physician Services</a>
<b>Provider Services</b>	Includes primary care, specialty care, obstetric and newborn care.	Provider Manual: <a href="#">Provider Services</a>
<b>Family Planning Services</b>	Enrolled female members have freedom of choice of providers for family planning services.	N/A
<b>Non-Part D Prescription Drugs</b>	Generic substitution only unless provided for otherwise as described in the <a href="#">Pharmacy Benefit Plan Protocols</a> .	Provider Manual: <a href="#">Pharmacy</a>

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<b>Non-Prescription Drugs</b>	<p>Limited to non-prescription drugs, as described in the <a href="#">Pharmacy Benefit Plan Protocols</a>.</p> <ul style="list-style-type: none"> <li>Includes nicotine cessation supplies ordered by a Health Plan physician.</li> <li>Includes medically necessary nutritional supplements ordered by a Health Plan physician.</li> </ul>	
<b>Laboratory Services</b>	Includes urine drug screens	Provider Manual: <a href="#">Clinical Laboratory</a>
<b>Radiology Services</b>	N/A	Provider Manual: <a href="#">Radiology Services</a>
<b>Diagnostic Services</b>	N/A	Provider Manual: <a href="#">Diagnostic Services</a>
<b>Home Health Services</b>	Includes full-time, part-time, or intermittent skilled nursing care and certified nursing assistant services as well as physical therapy, occupational therapy, respiratory therapy, and speech-language pathology, as ordered by a health plan physician. This service also includes medical social services, durable medical equipment, and medical supplies for use at home. Home Health Services do not include respite care, relief care or day care.	Provider Manual: <a href="#">Home Health Services</a>
<b>Nursing Home Care and Skilled Nursing Facility Care</b>	All skilled and custodial care covered.	Provider Manual: <a href="#">Nursing Home Care and Skilled Nursing Facility Care</a>
<b>Services of Other Practitioners</b>	Includes practitioners certified and licensed by the State of Rhode Island including nurse practitioners, physicians' assistants, social workers, licensed dietitians, psychologists, and licensed nurse midwives	Provider Manual: <a href="#">Other Practitioners</a>
<b>Podiatry Services</b>	The Medicaid Program covers routine foot care, such as debridement of nails and treatment for ingrown toenails.	Provider Manual: <a href="#">Podiatry</a>
<b>Optometry Services</b>	Benefit is limited to examinations that include refractions and provision of eyeglasses if needed once every two years. Eyeglass lenses are covered more than once in 2 years only if medically necessary. Eyeglass	Provider Manual: <a href="#">Vision</a>

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	frames are covered only every 2 years. Annual eye exams are covered for members who have diabetes. Other medically necessary treatment visits for illness or injury to the eye are covered.	
<b>Hospice Services</b>	Available to individuals who are certified as terminally ill. Services are limited to those covered by Medicare.	Provider Manual: <a href="#">Hospice</a>
<b>Durable Medical Equipment</b>	A guide to covered DME Items can be found in the provider manual.	Provider Manual: <a href="#">Durable Medical Equipment</a>
<b>Tobacco Cessation Services</b>	Covers over the counter and prescription cessation products, as well as counseling. Prior Authorization may be required.	<a href="#">Tobacco Cessation Benefits</a>
<b>Interpreter Services</b>	Reimbursement for Interpreter Services for Medicaid fee for service recipients is available for services provided during a one on one, face to face medically necessary office visit. Provider types eligible to seek reimbursement include physicians, podiatrists, optometrists, nurse practitioners, outpatient hospital clinics, and behavioral health providers.	Provider Manual: <a href="#">Interpreter Services</a>
<b>Transplant Services</b>	N/A	N/A
<b>HIV/AIDS Non-Medical Targeted Case Management for People Living with HIV/AIDS and those at High Risk for Acquiring HIV</b>	N/A	Provider Manual: <a href="#">HIV/AIDS Providers</a>
<b>AIDS Medical Case Management</b>	Includes client-specific advocacy and/or review of utilization of services. This includes all types of case management including face-to-face, phone contact, and any other form of communication	Provider Manual: <a href="#">AIDS / HIV Case Management</a>
<b>Rehabilitation Services</b>	N/A	Provider Manual:

Service	Benefit Detail	Reference Coverage Document
		<a href="#">Rehabilitation Services</a>
<b>Community Health Worker Services</b>	CHW services will be available to Medicaid eligible individuals who have one or more chronic health (including behavior health) conditions, who are at risk for a chronic health condition, and who face barriers meeting their health or health-related social needs.	<a href="#">Community Health Workers Services</a>
<b>Doula Services</b>	Services are covered during the prenatal period, during delivery, and up to twelve (12) months postpartum.	<a href="#">Provider Manual: Doula Services</a>
<b>Home Care Services</b>	Includes laboratory services and private duty nursing for a patient whose medical condition requires more skilled nursing than intermittent visiting nursing care. Home care services include personal care services, such as assisting the client with personal hygiene, dressing, feeding, transfer, and ambulatory needs. Home care services also include homemaking services that are incidental to the client's health needs such as making the client's bed, cleaning the client's living areas such as bedroom and bathroom, and doing the client's laundry and shopping. Home care services do not include respite care, relief care or day care	Provider Manual: <a href="#">Home Care</a>
<b>Treatment for Gender Dysphoria</b>	Gender Nonconformity - extent to which a person's gender identity, role or expression differs from cultural norms prescribed for people of a particular sex and Gender Dysphoria - discomfort or distress that is caused by a discrepancy between the person's identity and that person's sex at birth. Covered services for members aged 18 and older: <ol style="list-style-type: none"> <li>1. Behavioral Health</li> <li>2. Hormonal therapy</li> <li>3. Laboratory testing required to monitor hormonal therapy.</li> <li>4. Surgical procedures included in list below.</li> </ol> Non-reversible hormonal therapy. <i>REQUIRES PRIOR AUTHORIZATION.</i>	Provider Manual: <a href="#">Gender Dysphoria</a>  <a href="#">PDF Version of Coverage Guidelines</a>
<b>Adult Day Health</b>	Day programs for frail seniors and other adults who need supervision and health services during the daytime. Adult Day Health programs offer nursing care, therapies, personal care assistance, social and recreational activities, meals, and other services in a community group setting. Adult Day Health programs are for adults who return to their homes and caregivers at the end of the day.	Provider Manual: <a href="#">Adult Day Health</a>
<b>Nutrition Services</b>	Covered as delivered by a registered or licensed dietitian	
<b>Behavioral Health (Outpatient &amp; Inpatient)</b>	Covered services include a full continuum of Mental Health and Substance Use Disorder treatment, including but not limited to, community- based narcotic treatment, methadone, and community detox.	Provider Manual: <a href="#">BH Services</a>

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<b>Preventive Services</b>	<p>Preventive services are services provided to individuals who require minimal assistance with ADL's/IADL's. Individuals are Medicaid Eligible but do not need to meet LTC eligibility requirements. Individuals must meet the preventive level of care.</p> <p>Includes homemaker services, minor environmental modifications, physical therapy evaluation and services, and personal care services.</p>	<p>Provider Manual: <a href="#">Preventive Services</a></p>
<b>Personal Care Services</b>	<p>Personal Care Services provide direct support in the home or community to an individual in performing activities of daily living (ADL) tasks (e.g., bathing, dressing, eating, grooming, mobility, toileting, and transferring) that he/she is functionally unable to complete independently due to disability. Personal care services may be provided by:</p> <ul style="list-style-type: none"> <li>• A Certified Nursing Assistant which is employed under a state licensed home care/ home health agency and meets such standards of education and training as are established by the State for the provision of these activities.</li> </ul> <p>A Personal Care Attendant via Employer Authority under the Self Direction option.</p>	<p>Provider Manual: <a href="#">Personal Care</a></p>
<b>Emergency Room Services and Emergency Transportation Services</b>	<p>Covered both in-and out-of-State, for Emergency Services</p>	<p>Provider Manual: <a href="#">Emergency Room Transportation</a></p>
<b>Court-Ordered Mental Health and Substance Use Services – Criminal Court</b>	<p>Treatment must be provided in totality, as directed by the Court or other State official or body (i.e., a Probation Officer, The Rhode Island State Parole Board). The following are examples of Criminal Court Ordered Benefits that must be provided in totality as an in-plan benefit:</p> <p><b><i>Bail Ordered:</i></b> Treatment is prescribed as a condition of bail/bond by the court.</p> <p><b><i>Condition of Parole:</i></b> Treatment is prescribed as a condition of parole by the Parole Board.</p> <p><b><i>Condition of Probation:</i></b> Treatment is prescribed as a condition of probation.</p> <p><b><i>Recommendation by a Probation State Official:</i></b> Treatment is recommended by a State official (Probation Officer, Clinical social worker, etc.).</p> <p><b><i>Condition of Medical Parole:</i></b> Person is released to treatment as a condition of their parole, by the Parole Board.</p>	<p>Provider Manual: <a href="#">BH and SUD Services</a></p>

<b>Service</b>	<b>Benefit Detail</b>	<b>Reference Coverage Document</b>
<p><b>Court-Ordered Mental Health and Substance Use Treatment – Civil Court</b></p>	<p>All Civil Mental Health Court Ordered Treatment must be provided in totality.</p> <p>All regulations in the State of Rhode Island and Providence Plantations, Title 40.1, Behavioral Healthcare, Developmental Disabilities and Hospitals, Chapter 40.1- 5, Mental Health Law, Section 40.1-5.5 must be followed.</p> <p>Note the following are facilities where treatment may be ordered: The Eleanor Slater Hospital, Our Lady of Fatima Hospital, Rhode Island Hospital (including Hasbro), Landmark Medical Center, Newport Hospital, Roger Williams Medical Center, Butler Hospital (including the Kent Unit), Bradley Hospital, Community Mental Health Centers, Riverwood, and Fellowship.</p> <p>Civil Court Ordered Treatment can be from the result of:</p> <ul style="list-style-type: none"> <li>a) Voluntary Admission</li> <li>b) Emergency Certification</li> <li>c) Civil Court Certification</li> </ul> <p>Court ordered treatment is exempt from the 14-day prior authorization requirement for residential treatment.</p>	<p>Provider Manual: <a href="#">BH and SUD Services</a></p>
<p><b>Dental Services</b></p>	<p>Medicaid will only reimburse providers for medically necessary services. Services that are denied by Medicare because they are not medically necessary are not covered by Medicaid.</p>	<p>Provider Manual: <a href="#">Dental</a></p>

**LONG TERM SERVICES AND SUPPORTS (“LTSS”)  
COORDINATED BY THE MA HEALTH PLAN**

<b>Service</b>	<b>Benefit Detail</b>	<b>Reference Coverage Document</b>
<b>Homemaker</b>	Services that consist of the performance of general household tasks (e.g., meal preparation and routine household care) provided by a qualified homemaker when the individual regularly responsible for these activities is temporarily absent or unable to manage the home and care for their self or others in the home. Homemakers shall meet such standards of education and training as are established by the State for the provision of these activities.	Provider Manual: <a href="#">HCBS Reference Manual</a>
<b>Home Delivered Meals</b>	The delivery of hot meals and shelf staples to the individual’s residence. Meals are available to individuals unable to care for their nutritional needs because of a functional dependency/disability and who require this assistance to live in the community. Meals provided under this service will not constitute a full daily nutritional requirement. Meals must provide a minimum of one-third of the current recommended dietary allowance. Provision of home delivered meals will result in less assistance being authorized for meal preparation for individual participants, if applicable.	Provider Manual: <a href="#">HCBS Reference Manual</a>
<b>Skilled Nursing Services (LPN Services)</b>	Licensed Practical Nurse (LPN) services provided under the supervision of a Registered Nurse (RN). LPN services are available to Enrollees who require interventions beyond the scope of Certified Nursing Assistant (CNA) duties. LPN services are provided in accordance with the Nurse Practice Act under the supervision of a Registered Nurse. This service is aimed at individuals who have achieved a measure of medical stability despite the need for chronic care nursing interventions.	
<b>Community Transition Services</b>	Community transition services are non-recurring set-up expenses for individuals who are transitioning from an institutional or another provider-operated living arrangement to a living arrangement in a private residence where the individual is directly responsible for their own living expenses. Allowable expenses are those necessary to enable an individual to establish a basic household that does not constitute room and board and may include security deposits that are required to obtain a lease on an apartment or home; essential household furnishings and moving expense; set-up fees or deposits for utility or service access; and services necessary for the individual’s health and safety and activities to assess need arrange for and procure needed resources. Community transition services are furnished only to the extent that they are reasonable and necessary as determined through the Community Transition Plan development process and clearly	

Service	Benefit Detail	Reference Coverage Document
	identified in the Community Transition Plan and the individual is unable to meet such expense or when the services cannot be obtained from other sources. They do not include ongoing shelter expenses, food, regular utility charges, household appliances, or items intended for recreational purposes	
<b>Residential Supports</b>	Assistance with acquisition, retention, or improvement in skills related to ADLs, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in their own home and a non-institutional setting. Payments for residential habilitation are not made for room and board, the cost of facility maintenance (where applicable), or upkeep and improvement.	
<b>Day Supports</b>	Assistance with acquisition, retention, or improvement in self-help, socialization, and adaptive skills. Day supports focus on enabling the individual to attain or maintain their maximum functioning level and are coordinated with any other services identified in the individual's LTSS Care Plan.	
<b>Supported Employment</b>	Includes activities needed to sustain paid work by individuals receiving waiver services, including supervision, transportation, and training. When supported employment services are provided at a work site in which persons without disabilities are employed, payment will be made only for the adaptations, supervision, and training required by individuals receiving services because of their disabilities and will not include payment for the supervisory activities rendered as a normal part of the business setting.	
<b>Rite @ Home (Supported Living Arrangements-Shared Living)</b>	Personal care and services, homemaker, chore, attendant care, companion services, and medication oversight (to the extent permitted under State law) provided in a private home by a principal care provider who lives in the home. Supported living arrangements are furnished to individuals who receive these services in conjunction with residing in the home. Separate payment will not be made for homemaker or chore services furnished to an individual receiving supported living arrangements, since these services are integral to and inherent in the provision of adult foster care services.	<a href="#">FAQ Sheet</a> <a href="#">Shared Living Program Standards</a>
<b>Private Duty Nursing</b>	Individual and continuous care (in contrast to part time or intermittent care) provided by licensed nurses within the scope of State law and as identified in the LTSS Care Plan. These services are provided to an individual at home.	
<b>Supports for Consumer Directions</b>	Focuses on empowering individuals to define and direct their own personal assistance needs and services; guides and supports, rather than directs and manages, the individual through the service	

Service	Benefit Detail	Reference Coverage Document
<b>(Supports Facilitation)</b>	planning and delivery process. The facilitator counsels, facilitates, and assists in development of a self-directed care plan which includes both paid and unpaid services and supports designed to allow the individual to live in the home and participate in the community. A back-up plan is also developed to assure that the needed assistance will be provided if regular services identified in the self-directed care plan are temporarily unavailable.	
<b>Self-Directed Goods and Services</b>	Self-directed goods and services are services, equipment or supplies not otherwise provided through LTSS or through the Medicaid State Plan that address an identified need and are in the approved self-directed care plan (including improving and maintaining the individual's opportunities for full membership in the community) and meet the following requirements: the item or service would decrease the need for other Medicaid services and/or promote inclusion in the community; and/or the item or service would increase the individual's ability to perform ADLs or IADLs and/or increase the person's safety in the home environment; and, alternative funding sources are not available. Individual goods and services are purchased from the person's self-directed budget through the fiscal intermediary when approved as part of the self-directed care plan. Examples include a laundry service for a person unable to launder and fold clothes or a microwave for a person unable to use a stove due to their disability. This will not include any good/service that would be restrictive to the individual or strictly experimental in nature.	
<b>Financial Management Services (Fiscal Intermediary)</b>	Payroll services for the self-directed care program individuals: responsible for all taxes, fees, and insurances required for the self-directed care program. The individual is to act as an employer of record; manage all non-labor related payments for goods and services authorized in the participant's approved spending plan; assure that all payments made comply with the person's approved spending plan and conduct criminal background and abuse registry screens of all Enrollee's employees.	
<b>Senior Companion (Adult Companion Services)</b>	Non-medical care, supervision, and socialization, provided to a functionally impaired adult individual. Companions may assist or supervise the person with such tasks as meal preparation, laundry, and shopping. The provision of companion services does not entail hands-on nursing care. Companions may also perform light housekeeping tasks, which are incidental to the care and supervision of the person. This service is provided in accordance with a therapeutic goal in the LTSS Care Plan.	
<b>Assisted Living</b>	Personal care and services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted	<a href="#">Certification Standards,</a>

Service	Benefit Detail	Reference Coverage Document
	<p>under State law), therapeutic social and recreational programming, provided in a home-like environment in a licensed community care facility in conjunction with residing in the facility. This service includes twenty-four (24) hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and to provide supervision, safety, and security. Other individuals or agencies may also furnish care directly, or under arrangement with the community care facility but the care provided by these other entities supplements that provided by the community care facility and does not supplant it. Personalized care is furnished to individuals who reside in their own living units (which may include dually occupied units when both occupants consent to the arrangement) which may or may not include kitchenette and/or living rooms and which contain bedrooms and toilet facilities. The individual has a right to Privacy. Living units may be locked at the discretion of the person, except when a physician or mental health professional has certified in writing that the person is sufficiently cognitively impaired as to be a danger to self or others if given the opportunity to lock the door. (This requirement does not apply where it conflicts with fire code.) Each living unit is separate and distinct from each other. The facility must have a central dining room, living room, or parlor, and common activity center(s) (which may also serve as living rooms or dining rooms). The individual retains the right to assume risk, tempered only by their ability to assume responsibility for that risk. Care must be furnished in a way which fosters the independence of each Enrollee to facilitate aging in place. Routines of care provision and service delivery must be consumer-driven to the maximum extent possible and treat each person with dignity and respect. Costs of room and board are excluded from payments for assisted living services.</p>	<p><a href="#">Billing Reference Guide and Tier Application</a></p>
<p><b>Personal Care Assistance Services</b></p>	<p>Provide direct support in the home or community to Enrollees in performing tasks they are functionally unable to complete independently due to disability, based on the LTSS Care Plan and/or the self-directed care plan. Services include:</p> <ul style="list-style-type: none"> <li>• Enrollee assistance with ADLs, such as grooming, personal hygiene, toileting bathing, and dressing</li> <li>• Assistance with monitoring health status and physical condition</li> <li>• Assistance with preparation and eating of meals (not the cost of the meals itself)</li> <li>• Assistance with housekeeping activities (e.g., bed making, dusting, vacuuming, laundry, grocery shopping, cleaning)</li> </ul>	

Service	Benefit Detail	Reference Coverage Document
	<ul style="list-style-type: none"> <li>Assistance with transferring, ambulation, and use of special mobility devices</li> </ul> <p>Assisting the Enrollee by directly providing or arranging transportation (If providing transportation, the personal care assistant must be verified as having a valid driver's license and liability coverage).</p>	
<b>Respite</b>	<p>Respite can be defined as a service provided to individuals unable to care for themselves that is furnished on a short-term basis because of the absence or need for relief of those persons who normally provide care for the person. Federal financial participation is not claimed for the cost of room and board as respite services are provided in a private home setting, which may be in the person's home or occasionally in the respite provider's private residence, depending on Family preference and case-specific circumstances. When an individual is referred to a RI EOHHS-certified respite agency, a respite agency staff person works with the Family to assure they have the requisite information and/or tools to participate and manage the respite services, The Individual/Family will already have an allocation of hours that has been recommended and approved by RI EOHHS. These hours will be released in six (6) month increments. The Individual/Family will determine how they wish to use these hours. Patterns of potential usage might include intermittent or occasional use; routine use of a few hours each week; planned weekends away; a single block of hours that might allow the rest of the Family to spend a few Days together; or some combination of the above. The Individual's/Family's plan will be incorporated into a written document that will also outline whether the Enrollee/Family wants help with recruitment, the training needed by the respite worker, the expectations of the Individual/Family relative to specific training and orientation to the home, and expectations relative to documenting the respite worker's time. Each eligible person may receive up to one hundred (100) hours of respite services in a year.</p>	
<b>Rehabilitation Services</b>	<p>Physical, occupational and speech therapy services may be provided with Health Care Professional orders by RIDOH licensed outpatient rehabilitation centers. These services supplement home health and outpatient hospital clinical rehabilitation services when the person requires specialized rehabilitation services not available from a home health or outpatient hospital provider.</p>	<p><a href="#">Medical Coverage Guidelines</a></p>