

OHHS Accountable Entity Program

Comprehensive AE Application Information Session

December 4, 2017

Agenda

- ❖ **Welcome, Introduction, Meeting Rules**
- ❖ **Application Overview**
- ❖ **Scoring and Review Process**
- ❖ **Public Comment**

Meeting Overview/Rules and Norms

Meeting Overview

- ❖ Information session intended to review application requirements for the successful completion of Comprehensive AE Application.
- ❖ LTSS AE Program Update
- ❖ Review AE Application Documents and Attachments
- ❖ Review application submission requirements
- ❖ Review Application Review Process/Timeline
- ❖ Public Comment

Meeting Rules and Norms

- ❖ ***We kindly request that Applicants not contact individual EOHHS employees, contractor or subcontractor parties to EOHHS for inquiries about the application during the application submission and review process. Applicants should follow procedures and protocols stated within this application for correct submission.***
- ❖ During this information session, please hold comments or clarification questions to the end of the session.
- ❖ General comments can be made during the public comment section of this meeting will be recorded and we **may** respond to these in a publicly posted FAQ on the EOHHS website.

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Comprehensive AE Application Overview

- ❖ Comprehensive AE Application distributed and posted on EOHHS website on November 15th. AE Application consists of:

Document Name	File/Information
1) <u>Comprehensive AE Application</u>	PDF sent on 11/15 contains imbedded attachments A, B, C and D. Currently on website, attachments B and D were removed.
2) <u>Attachment A</u> : Comprehensive Accountable Entity Certification Standards	PDF
3) Attachment B: Comprehensive Accountable Entity Application Submission Summary Checklist	Word Document. Referred to as 'Checklist' in application. **Not currently posted on EOHHS website.**
4) <u>Attachment C</u> : Assurances and Attestations	PDF
5) Attachment D: Excel Application Template Tool	Excel Spreadsheet **Not currently posted on EOHHS website.**

- ❖ AE Application attachments sent via announcement contain attachments imbedded in PDF Application.
- ❖ Applicants who do not have application attachments B and D, please email Mark Kraics (Mark.Kraics@ohhs.ri.gov) and Debbie Morales (Deborah.Morales@ohhs.ri.gov). **It is the responsibility of the Applicant to ensure they have all application attachments for their submission.**

Submission Requirement Information

- ❖ Comprehensive **AE applications are due no later than 3 p.m. on February 15, 2018** to January Angeles, Deputy Medicaid Program Director, Managed Care and Oversight.
- ❖ Applicants shall provide (a) one original, (b) five hard copies, and (c) an electronic copy of their application.
- ❖ Hand delivered to*:

**January Angeles:
Virks Building
3 West Road
Cranston, Rhode Island 02920**

- ❖ **Electronic submission materials should be emailed to January Angeles** (January.Angeles@ohhs.ri.gov)
- ❖ Late or incomplete applications will not be considered by the Review Committee

Application Document Submission: Overview

- ❖ The Applicant shall submit the following documents to EOHHS in the following order:

Document	Additional Notes
Letter of Transmittal (Pg. 17)	
Attachment B-Application Attachment Summary Checklist	Where documents and attachments can be found in final application submission.
Proposal Summary (Pg. 18), References (Pg. 18), Technical Proposal and <u>associated attachments</u>	Please follow the AE Application directions for pertinent attachments to provide core information
Attachment C: Assurances and Attestations	PDF, handwritten please
Attachment D: Excel Application Template Tool	Excel Attachment, please follow directions from AE Application

Comprehensive AE Application: Technical Proposal

Applicant Submission Guidance Summary

Application Overview

3.2.1 Letter of Transmittal

3.2.2 Assurances

3.2.3 Proposal Summary

3.2.4 Technical Proposal

Technical Proposal: by Domain

Domains	
A. Organizational Structure	1. Breadth and Characteristics of Participating Providers
	2. Corporate Structure & Governance
	3. Leadership & Management
B. IT Infrastructure	4. Data Analytic Capacity & Deployment
C. System Transformation	5. Commitment to Population Health & System Transformation
	6. Integrated Care Management
	7. Member Engagement & Access
	8. Quality Management

For Each Domain

- A. Description of Proposal**
statement of understanding and proposed approach to meet standard
- B. Organizational Readiness**
elements currently in place, plan for development
- C. Templates/Attachments**
to provide core information as appropriate
- D. Self Assessment**
summary of AE status for each certification standard in the domain

Comprehensive AE Application: Technical Proposal

- ❖ Technical Proposals will be evaluated on two dimensions:
 - ❖ **Technical Merits**– Understanding and Strength of Proposed Approach
 - ❖ **Organizational Readiness** -EOHHS recognizes that developing the capacity to be an effective AE and agent of health system transformation is a progressive path. ***In some domain areas the Applicant may describe an existing strength or capacity. In other cases, the Applicant may identify a point of progress in readiness along with a thoughtful plan of approach to further develop capability in a domain.*** Organizational Readiness therefore may reflect organizational and program elements currently in place or it may reflect the presence of clearly identified path for next steps.
- ❖ **Please make sure to delineate in technical proposal which elements the Applicant currently exist vs. proposed approaches. Provide additional documentations, workplans/timelines for proposed approaches.**
- ❖ **Make sure to complete all templates (Attachments B, C and D) and provide required and supplemental documentation.**
- ❖ **Make sure Applicant has thoroughly answered technical proposal questions from AE Application. It is the responsibility of the Applicant to make sure they have answered the questions thoroughly from the AE Application.**

Comprehensive AE Application: Self-Assessment

- ❖ Applicants are required to complete Attachment B, Self-Assessment of Readiness in Domains.
- ❖ *****CORRECTION: Please use scale below (5-high, 1-low)*****

Scoring Guidelines for Self-Assessment of Readiness in Domains	
5	<ul style="list-style-type: none">• Requirements of the Certification Standards are fully met.• Approach is fully defined and in place.• Structure, systems, agreements, and/or staffing in place and operational.
4	<ul style="list-style-type: none">• Many but not all of the requirements are in place.• Gaps are recognized. Structure, systems, agreements, and/or staffing are partially in place with a detailed plan of action with clear milestones and projected time line for further development.
3	<ul style="list-style-type: none">• Actions to meet the requirements are in an early to mid-stage of implementation.• Active planning to address recognized gaps.• Structure, systems, agreements, and/or staffing plans are designed and in early to mid-stage of implementation.• There is a well developed work plan with clear milestones and projected time line in place.
2	<ul style="list-style-type: none">• Actions and plans to meet the requirements are at an early stage of design and implementation.• There are preliminary but high level work plans with some milestones and timelines identified.
1	<ul style="list-style-type: none">• Planning and implementation for this area has not yet begun.

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Comprehensive AE Application: Scoring

- ❖ Committee recommendations on certification can result in the following outcomes.
 - ❖ Fully Certification
 - ❖ Certified, with Conditions (9 or 4 months)
 - ❖ Not Certified
- ❖ EOHHS will send applicants formal correspondence informing them of the outcome of the review
- ❖ If an AE is certified with conditions, the communication will indicate that the certification is contingent upon meeting certain conditions that are set forth in the correspondence from EOHHS.
- ❖ The certification is conditional upon the newly-certified AE addressing the conditions in accordance with a defined project plan and timeline (e.g. that a proposed action be complete within a defined timeframe, such as four months or nine months).
- ❖ **AEs are eligible for funding if they are *fully certified* or *certified with conditions*.**

AE Application Technical Proposal Submission Scoring

Comprehensive Accountable Entity Application Scoring Rubric		
Technical Proposal Elements	Points Per Section	<i>Suggested</i> Number of Written Technical Response Pages
Letter of Transmittal	Pass/Fail	N/A
Assurances/Attestations	Pass/Fail	N/A
Proposal Summary	5	3
Domain 1: Breadth and Characteristics of Participating Providers	15	7
Domain 2: Corporate Structure and Governance	12	7
Domain 3: Leadership and Management	12	5
Domain 4: IT Infrastructure	12	8
Domain 5: Commitment to Population Health and System Transformation	12	6
Domain 6: Integrated Care Management	12	7
Domain 7: Member Engagement	10	5
Domain 8 Quality Management	10	7
Overall Score	100	55

Comprehensive AE Application Scoring

- ❖ To be certified the Applicant must meet the minimum scoring thresholds. Note that the minimum score for Organizational Readiness is a weighted average of 3.0. Additionally, the Applicant must minimally score 3.0 in Organizational Readiness in **each** of the following Domains:
 - ❖ Domain #1: Breadth and Characteristics of Participating Providers
 - ❖ Domain #2: Corporate Structure and Governance
 - ❖ Domain #3: Leadership and Management

Scoring Outcomes	Technical Proposal Score	Weighted Average Readiness Score
Fully Certified	75pts, +	4.5+
Provisionally Certified with Conditions to be met within 9 months	70 pts+	3.0+
Provisionally Certified with Conditions to be met within 4 months	65pts+	2.5+
Not Certified	<65 pts	<2.5

Comprehensive AE Application: Review Process

- ❖ Review Committee members will be composed of state staff and subject matter experts to evaluate Applicants on meeting the Certification Standards based on scoring criteria.
- ❖ Committee members will follow guidelines laid out by the State on certification review and adhere to DOA review process rules and regulations.
- ❖ The Certification process is about the evaluation and identification of a qualified applicant based on the Certification Standards.
- ❖ EOHHS will send applicants formal correspondence informing them of the outcome

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For additional comments please email:

January.Angeles@ohhs.ri.gov or

Deborah.Morales@ohhs.ri.gov